European consensus-based interdisciplinary guideline for diagnosis, treatment, and prevention of actinic keratoses, epithelial UV-induced dysplasia and field cancerisation. On behalf of EADO<sup>A</sup>, EDF<sup>B</sup>, EADV and UEMS<sup>C</sup>

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Word count: Abstract: 156, Text: 10535 words, 71117 characters, Tables: 2, Figures: 1,

Supplementary Tables: 0, Refer-ences: 162

**Funding Sources:** The development of the current set of guidelines was supported solely by funds of the EADO which were used to mainly support the consensus meeting without

honoraria and without reimbursement of travel costs.

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#### Abstract

A collaboration of multidisciplinary experts from the European Association of Dermato-Oncology, the European Dermatology Forum, the European Academy of Dermatology and Venereology, the European Union of Medical Specialists, was formed to develop European recommendations on AK diagnosis and treatment, based on current literature and expert consensus. This guideline addresses the epidemiology, diagnostics, risk stratification, and treatments in immunocompetent as well as immunosuppressed patients. Actinic keratoses (AK) are potential precursors of cutaneous squamous cell carcinoma (cSCC) and display typical histopathologic and immunohistochemical features of this malignancy in an early stage. They can develop into cSSC in situ and become invasive in a low percentage of cases. AK is the most frequent neoplasia in white populations, frequently occurring within a cancerous field induced by ultraviolet radiation. Since it cannot be predicted, which lesion will progress to cSCC and when, treatment is usually recommended. The diagnosis of AK and field cancerization is made by clinical examination. Dermatoscopy, confocal microscopy, optical coherence tomography or line-field confocal-OCT can help in the differential diagnosis of AK and other skin neoplasms. A biopsy is indicated in clinically and/or dermatoscopically suspicious and/or treatment refractory lesions. The choice of treatment depends on patients' and lesion characteristics. For single non-hyperkeratotic lesions, the treatment can be started upon patient's request with destructive treatments or topical treatments. For multiple lesions, field cancerisation treatment is advised with topical treatments and photodynamic therapy. Preventive measures, such as sun-protection, self-examination and repeated field cancerisation treatments of previously affected skin areas in high-risk patients are advised.

**Key words:** actinic keratoses, epithelial UV-induced dysplasia, field cancerisation, cutaneous squamous cell carcinoma, prevention, topical treatment, photodynamic therapy.

#### 1. Introduction:

- 1.1. Societies in charge. This guideline was developed on behalf of the European Dermatology Forum (EDF). The European Association of Dermato-Oncology (EADO) coordinated the authors' contributions as part of its Guideline Program in Oncology (GPO). The editors and coordinators responsible for the formulation of the guideline were Lidija Kandolf, Claus Garbe, Josep Malvehy, Klara Mosterd, Maria Concetta Fargnoli, Markus Heppt and Carola Berking. To ensure the interdisciplinary quality of the guidelines they were developed in cooperation with the European Dermatology Forum (EDF), the European Union of Medical Specialists (Union Européenne des Médecins Spécialistes, UEMS).
- 1.2. Disclaimer. All statements related to the definition, classification, diagnosis, and treatment of actinic keratosis (AK) correspond to the current scientific knowledge, based on the data from the literature available at the time of printing the guidelines. The attending physician invoking these guideline recommendations must consider scientific progress since the publication of the guideline. The user remains responsible for all diagnostic and therapeutic applications, medications, and doses. Just as adherence to the guidelines may not constitute defence against a claim of negligence (malpractice), deviation from them should not necessarily be deemed negligent. These guidelines will require updating approximately every 2 years but advances in medical sciences may demand an earlier update. Registered trademarks (protected product names) are not specified in these guidelines. This work is protected by copyrights in all its parts. Any utilisation outside the provision of the copyright act without the written permission by the GPO of the EADO is prohibited and punishable by law. No part of this work may be reproduced in any way without written permission by the GPO. This applies to duplications, translations, microfilming, and the storage, application, and utilisation in electronic systems, intranets, and internet.
- 1.3. **Scope**. This guideline was developed to assist clinicians in diagnosing and treating patients with epithelial dysplasia, including AK. In recent years significant rise of

incidence of keratinocyte cancers is evident, leading to the increased burden on the society. Also, advances were made in understanding of keratinocyte dysplasia, and the concept of field cancerization was introduced and adopted by the dermatology community. Different classification schemes of epithelial dysplasia and AK were developed to guide the treatment approach in everyday practice. New insights in the efficacy and safety of different topical treatments and destructive methods for this condition were also developed. It is recognised by the scientific community, that these conditions should be treated and monitored to prevent the transformation to invasive cutaneous squamous cell carcinoma. Thus, the use of these guidelines that incorporate the updated scientific knowledge in the field of definition, diagnosis, and treatment of epithelial dysplasia, AK and field cancerization in clinical routine should improve patient care.

- 1.4. Target population. The guidelines have been prepared for the clinicians who take care of the patients with AK and keratinocyte carcinomas in general. These are mainly dermatologists.
- 1.5. Objectives and formulation of questions. The guidelines have been developed and organised in clear sections, based on the latest data from the literature, to support clinicians in finding the answers to questions relevant to the everyday practice on: a) definition of AK and field cancerisation and their relation to cutaneous squamous cell carcinoma (cSSC); b) epidemiology and pathophysiology; c) which examinations methods are reliable for diagnosis and do we need histopathologic confirmation?; d) is there a rationale for early treatment of AK and which patient should receive which treatment?; e) how we should follow-up patients with AK and f) what preventive measure can be advised to the patients?
- 1.6. Principles of methodology. The literature search was carried out by the authors using PubMed, and only articles published until September 2022 were included. Search strings were used, which cannot all be listed here. In principle, the search strings are constructed in such a way that the search is primarily carried out in the

titles and abstracts of the publication, including the terms AK, keratinocyte dysplasia, field cancerization, prevention, diagnosis, and treatment. All diagnostic and treatment recommendations summarised in the respective tables are evaluated on the basis of evidence-based data or formulated as expert consensus if no sufficient evidence is available. The methodology of these updated guidelines is based on the standards of the AGREE II instrument [1]. The levels of evidence are graded according to the Oxford classification (**Table 1**) [2].

**Table 1.** Oxford Centre for Evidence-Based Medicine 2011 Level of Evidence

The grades of recommendation were classified as follows:

A: Strong recommendation. Syntax: 'shall'.

B: Recommendation. Syntax: 'should'.

C: Weak recommendation. Syntax: 'may/can'.

X: Should not be recommended.

0: Recommendation pending. Currently not available or not sufficient evidence to make a recommendation in favour or against.

An expert consensus was presented, where there was insufficient evidence.

- 1.7. Source guidelines. Source guidelines for guideline adaptation of recommendations was the German S3 guideline on actinic keratosis and the American Academy of Dermatology guidelines on actinic keratosis [3-5], since previously published guidelines expired (i.e. published 5 or more years ago).
- 1.8. Consensus building process. The consensus building process was conducted as follows: In a first-round medical experts who participated in their national guideline development processes were involved in producing an initial draft. A consensus meeting was held in Rome, Italy, on November 24th and 25th with final outcomes: (1) the approval of the text and (2) a consensus rate of agreement of at least 80%, for recommendations provided in structured boxes and the figure. Voting of the

recommendations included the selection of 'Agree', 'Disagree' or 'Abstential' vote, and the possibility of providing comments in case of disagree/abstential. The consensus vote on the recommendations and the finalisation of the draft was conducted among coauthors by email between December 1st-24th, 2022.

1.9. Financing. The authors did this work on a voluntary basis and did not receive any honorarium. Travel costs for participation in Consensus Conferences were paid by the authors themselves. Accommodation during the Consensus Conferences were reimbursed in part by EADO.

## 2. Definition, epidemiology, aetiology, and diagnosis

- 2.1. **Definition.** Actinic keratosis (AK) is a common cutaneous keratinocyte dysplasia characterized by the abnormal proliferation of atypical epidermal keratinocytes ('keratinocyte intraepidermal neoplasia (KIN)). Multiple terms have been used in the literature to define this lesion including 'solar keratosis', 'senile keratosis', 'keratosis senilis', 'senile keratoma', 'keratoma senile', [6] and 'in situ SCC type AK' [7]. AK is either considered as a precancerous lesion that may possibly 'transform' into invasive SCC (iSCC), or as in situ SCC (intraepidermal proliferation of atypical keratinocytes) that may progress to an invasive stage. This concept is based on the fact that AK is cytologically indistinguishable from in situ SCC and has a number of molecular alterations common to SCC [8, 9]. The term in situ SCC should be used with caution with patients, because the term 'carcinoma' is associated with morbidity that does not correspond to the clinical diagnosis since AKs in most cases does not transform into an iSCC. However, it should be communicated to patients that currently it is not possible to predict the progression of single AK lesions to invasive cSCC.
- 2.2. Concept of field cancerization. Field cancerization is defined as an area of subclinical changes in the periphery of clinically visible AKs that displays genetic changes similar to those found in AK lesions [10, 11]. Clinically, a definition of field cancerisation has been established by expert opinion consensus and systematic review has been stated as "the anatomical area with or adjacent to AK and visibly sun

damaged skin characterized by at least two of the following signs: telangiectasia, atrophy, pigmentation abnormalities and a sandpaper like texture". It is unclear whether a visible AK lesion is required for field cancerization [12].

Box 1. Definitions of actinic keratosis and field cancerization

Definitions of AK and	Consensus-based statement
fied cancerization	
GCP	AK is a precancerous lesion that may progress into invasive SCC. Actinic keratosis shall be used as the preferred term in clinical practice [7, 8]
	An area of field cancerization is defined as an area of subclinical changes in the periphery of visible AKs that displays genetic changes similar to those found in AKs [10, 11]
Expert consensus	Strength of recommendation: 100%

2.3. Pathophysiology. AKs result from excessive chronic sun exposure and are located mainly on areas with chronically sun damaged skin [13-15]. UVB radiation can induce mutations and deregulation of tumour suppressor proteins such as p53, p16INK4a and PTEN that are considered a crucial molecular mechanism in the development of AK and cSCC [9, 16, 17]. UV radiation and infections with human papillomaviruses (HPV) may act as cofactors in the development of AK, although it is controversial [18-24]. Immunosuppression increases the risk of cancers that are associated with viral infection. In particular, the risk of cSCC which has been associated with beta HPV infection, is increased by more than 100-fold in immunosuppressed patients [21-23]. In a systematic review 58.5% of AKs were positive for beta HPV, 40.2% for gamma HPV and only a few were positive for alpha subtypes [23]. However, it has been found that T-cell immunity against commensal papillomaviruses suppresses skin cancer in immunocompetent hosts, and the loss of this immunity, rather than the oncogenic

- effect of HPVs may contribute to causes the markedly increased risk of cSCC in immunosuppressed patients [24].
- 2.4. Development of AK towards cSCC. AKs may undergo spontaneous regression, remain stable, or further progress to invasive malignancy. It has been suggested that invasive cSCC may develop in two ways: (i) by transformation of a clinically preexisting individual AK or (ii) de novo, from a subclinical UV-damaged single cell in a field of cancerization. The first way seems to occur in 0.1-16% in clinically pre-existing AKs, based on the data about the risk of malignant transformation of a single actinic keratosis [25]. The second pathway is based on field changes which can be detected in about 80% of histopathologically examined cSCC [26]. A meta-analysis found progression rates of AKs to SCC varying from 0% to 0.075% per lesion-year, with a risk of up to 0.53% per lesion-year in patients with prior history of keratinocyte cancer (NMSC) [27]. Rates of regression of single lesions ranged between 15% and 63% after 1 year, with a recurrence rate of 15-53% after 1 year follow-up [27].
- 2.5. Epidemiology. Since AK are not included in cancer databases or population-based incidence rates and AKs are often not biopsied, epidemiological data are scarce and often marred by numerous biases. Prevalence varies greatly across countries, depending on study setting, UV radiation level, and patient characteristics [28]. In the UK, 15.4% of males and 5.9% of females have AK lesions, while in those older than 70 years the prevalence increases to 34% of whites over 70 years [29]. In Australia, up to 60% of people over 40 years old have AK [30]. In Spain, AK prevalence was observed in 28.6% of the population over 45 years, with higher rates in men than women [31]. In Italy, AK prevalence was 27.4% and in Switzerland 25.3% [32-34]. Higher rates in men than in women were also observed in all epidemiologic studiesn Germany, the overall prevalence of AK was 2.66%, with rates higher in men than in women, and prevalence increasing with age, with the highest rates observed in the 61-70 age group [27].

2.6. Risk factors for AK. A meta-analysis, including mainly European studies, investigated risk factors in immunocompetent individuals [22, 28]. Factors associated with an increased risk of AK were male sex, age >45 years, fair skin type, light hair colour, light eye colour, freckles on face/arms, positive history of non-melanoma skin cancer (NMSC), sunburns in childhood and adulthood, severe sunburn, chronic occupational and/or recreational sun exposure, baldness, and use of potentially photosensitizing thiazide diuretics or other photosensitizing cardiac drugs. On the contrary, factors associated with a reduced risk of AK were sunscreen use and history of atopy. No association was found between patients' education level and the risk of AK, abnormal body mass index, alcohol consumption and smoking status. Sensitivity analysis yielded consistent results. IRF4, MC1R and TYR genes, that are involved in various kinds of human pigmentation traits as well as in skin cancers, were identified as significant risk factors for AK in the north-western European study population [32]. Chronically immunosuppressed patients, especially organ transplant recipients (OTRs) have a higher risk for developing AK and SCC [35]. In OTRs, the prevalence of patients with AKs increases with longer duration of immunosuppression [36]. A recent study showed that the variability of AKs in a 12-month period was associated with an increased risk of SCC in OTRs [37]. In another study, presence of AK patches and their number, as well as the number of AKs and area affected by AKs were predictive of SCC development in OTR [38]. Genetic skin diseases associated with impaired DNA repair mechanisms and disorders with a deficient melanin biosynthesis, are associated with a higher risk for the development of AK [39, 40].

# 3. Clinical and non-invasive diagnosis of AKs

3.1. Clinical features. AKs typically manifest as rough, scaly skin coloured to red light or dark brown patches, papules or plaques commonly located on chronically sundamage body sites. The diameter of AKs generally ranges from a few millimetres to several centimetres [41]. The clinical features along with the typical anatomical site allow a correct clinical diagnosis in most cases, although the differential diagnosis with basal cell carcinoma (BCC) and inflammatory disorders may be sometimes challenging. Clinical variants include pigmented, Bowenoid and lichenoid AK [42]. While the latter two are usually diagnosed on histopathologic examination, pigmented AK is commonly present in sun-damaged skin and therefore differential diagnosis may include lentigo maligna. The number and location of lesions, similar morphology using the comparative approach and rough surface on palpation represent important clues for the diagnosis of AK [43, 44]. Clinical signs suggesting progression to iSCC (iSCC) include lesion induration, bleeding, discomfort, pain and increase in thickness and diameter [42]. AKs seldom appear as a solitary lesion; indeed, often an entire region is affected. Individual AK lesions have been clinically graded based on their thickness using the Olsen classification system [45]. Grade 1 lesions are slightly palpable, more easily felt than seen, grade 2 lesions are moderately thick and easily seen and felt, and grade 3 lesions are very thick and hyperkeratotic. This severity index was combined with the counting of lesions in a limited area for the assessment of clinical trials. However, counting individual lesions is not reproducible even among experts. Although the Olsen classification failed to reliably correlate with the histological severity of the lesions, it has been shown to be strongly correlated with the risk of cSCC development in a recent study [46]. Other clinical severity indexes have been proposed considering the entire area affected by AKs [47-49]. Additionally, a recent practice related approach classified AK based on the overall burden of disease into the following categories [50]: (i) single AK (less than 5 AKs in a defined field), (ii) multiple AKs (6+ lesions in a defined field), (iii) field cancerization (6+ lesions associated with sun-damaged skin and hyperkeratosis) and (iv) AKs associated with immunosuppression.

3.2. **Dermatoscopy.** Dermatoscopy improves the clinical diagnosis of AK and has been reported to achieve a diagnostic sensitivity and specificity of 98.7% and 95.0%,

respectively. Depending on the clinical aspects, dermatoscopy reveals either a red network pattern (grade 1), a "strawberry pattern", namely background erythema interrupted by white-coloured follicular openings that might be filled with keratin plugs (grade 2), or structureless white to yellow areas (grade 3) [51, 52]. Moreover, dermatoscopy can aid in the assessment of treatment response and in the differential diagnosis of AK. In the cases of pigmented AK, dermatoscopy may help to rule out lentigo maligna [43, 53] based on the presence of enlarged white follicular openings, double lines between the hair follicles, background erythema and scaly surface in AKs. In AKs located on non-facial skin, the follicular openings are less predominant and dermatoscopy mainly reveals erythema and superficial scales. Dermatoscopy can also help to identify early signs of iSCC due to the presence of coiled/glomerular or polymorphous vessels and white circles, which are rarely observed in AK [52].

- 3.3. Confocal microscopy and optical coherence tomography (OCT). In combination with clinical examination and dermatoscopy, reflectance confocal microscopy (RCM) and optical coherence tomography (OCT) can help in the differential diagnosis of AK and SCC, pigmented AK and lentigo maligna. The superficial orientation in the epidermis, makes AK suitable for non-invasive imaging tools, but on the other hand, the presence of hyperkeratotic scale may impair image resolution and diagnostic accuracy. RCM and OCT have also been extensively applied to monitor treatment efficacy of AKs [54-58]. The RCM terms that describe better diagnostic features of AK include hyperkeratosis, parakeratosis, scale and atypical honeycombed pattern, architectural disarray and targetoid cells [59-61].
- 3.4. Line-field confocal optical coherence tomography (LC-OCT). LC-OCT in vertical and horizontal sections of the lesions have been used in to identify AKs criteria that include an outlined dermo-epidermal junction without broad strands [62, 63]. LC-OCT has also correlated with histological images to evaluate the proliferative pattern of AK that has been associated with resistant to treat AK and the risk of progression [64].

Box 2. Clinical and dermatoscopic diagnosis of actinic keratosis

	Consensus-based statement
Grade of	The diagnosis of actinic keratosis and field cancerization is
recommendation: B	made by clinical examination. Dermatoscopy can help in the
	differential diagnosis of actinic keratosis and other skin
	neoplasms
Level of evidence: 1	Strength of consensus: 100%

Box 3. Other non-invasive imaging for actinic keratosis

	Consensus-based statement
GCP	Confocal microscopy, OCT, and LC-OCT can help in the
	differential diagnosis of actinic keratosis and other skin
	neoplasms
	Strength of consensus: 100%

### 4. Histopathologic diagnosis

4.1. Histopathologic confirmation. Histopathological confirmation is recommended to confirm the diagnosis in equivocal lesions especially in the differential diagnosis of cutaneous SCC (cSCC) or BCC. A skin biopsy should be taken if one or more of the following clinical features are present which may indicate cSCC or other types of skin cancer: infiltration, induration, ulceration, pigmentation, rapid enlargement, and pain. [65]. A biopsy should also be considered if coiled, dotted, hairpin or polymorphous vessels and/or white circles or whitish homogeneous areas are detected on dermatoscopy or if invasion is suspected on RCM, OCT, LC-OCT. According to their clinico-pathological appearance, various types of AK have been described, including pigmented, atrophic, bowenoid, lichenoid, acantholytic and hyperkeratotic AKs. The Rowert-Hubert histological classification has been suggested to assess the severity degree of single AK lesions [50]: 1) early in situ cSCC, type AK I corresponds to atypical keratinocytes in the basal and supra-basal layers (the lower third) of the epidermis; 2) early in situ cSCC, type AK II is constituted by atypical keratinocytes extending to the lower two-thirds of the epidermis; 3) in situ cSCC, type AK III consists of atypical keratinocytes extending to more than two-thirds of the full thickness of the epidermis. The classification was suggested to predict the risk of AK to progress to cSCC. However, in a recent study it was demonstrated that AK I are the most frequent lesions associated to cSCC (so-called differentiated pattern) and that Rowert-Hubert classification cannot predict the transformation of AKs [26]. Additional evidence suggests that hair follicles may contribute significantly to the development of deeply invasive SCC and that the depth of follicular extension in AK correlates with the depth of invasion of an associated iSCC [12, 26]. Based on these and other findings from the recent studies, the PRO classification of AKs was suggested, which is based on the histological growth pattern. The histological growth pattern of AKs appeared to be associated to treatment resistance and progression to invasive cSCC. Pro I (basalgrowth pattern) corresponds to crowding of basal atypical keratinocytes; Pro II to

budding of atypical keratinocytes into the upper papillary dermis and forming round nests of atypical keratinocytes; Pro III (papillary sprouting) to spiky or filiform papillary elongation of atypical keratinocytes protruding into upper dermis and exceeding the thickness of the overlying epidermis [66, 67]. Interestingly proliferative AKs are not correlated with Olsen grade or KIN criteria.

Box 4. Biopsy and histopathological examination

	Consensus-based statement
GCP	Biopsy is not routinely required for the diagnosis of actinic keratosis
	Biopsy shall be done in clinically and/or dermatoscopically suspicious and/or treatment refractory lesions.
	Strength of consensus: 100%

### 5. Treatment of actinic keratoses

5.1. Rationale for treatment. The most important reason for treatment of AK is to prevent the transformation to invasive cSCC [50]. The risk of progression varies from 0.025% to 20% per year and is significantly higher in immunosuppressed patients, such as solid organ transplant recipients [68]. Furthermore, if the patient has had previous cSCC in the field, the risk for developing the second cSCC is 47% [69]. As there is currently no way to accurately predict which lesion will develop into cSCC or when this might occur, treatment is recommended. Treatment of AK can be lesion-directed or field-directed. Lesion-directed treatments target individual AKs, whereas field-directed treatments have the advantage of treating multiple, widespread, and subclinical AKs that may occur within a field of chronically sun damaged skin, commonly referred to as field cancerization [70]. Field-directed treatments are nowadays the focus of AK treatment as most of them are convenient, can be self-

administrated and most importantly they target subclinical damage. Weinstock et al. [71] found that field treatment with 5-fluorouracil (5-FU) reduced the risk of cSCC compared to placebo after one year in patients with severe AKs and a history of at least two cSCCs: 1% of patients treated with 5-FU developed a cSCC, compared to 4% in the placebo group. In another study, the total 4-year risk of developing cSCC in a field treated area of AK was 3.7%, but significantly increased to 20.9% in patients with Olsen grade III AK and to 33.5% in patients with Olsen grade II AK patients with an indication for retreatment. [46]. Thus, for multiple severe AKs with a history of previous cSCC, field treatment and retreatments are highly recommended. For mild AKs (Olsen grade I), field treatment can also be recommended, but well instructed self-examination can be considered.

Field-directed treatments are not suitable for all patients: the long duration of treatment can impact adherence and they may cause unwanted cosmetic effects. In some patients, lesion-directed treatments are preferred, as they have the benefit of being performed under the supervision of a physician and are less time-consuming. Lesion-directed treatments commonly involve ablative procedures as surgery (shave, excision), cryosurgery/cryotherapy and laser therapy. Surgical treatments are usually reserved for AKs that are unresponsive to other treatments and in cases of uncertain diagnosis [72].

There is no standard treatment for AKs and physicians should make decisions considering both lesion (number, location, histology) and patient characteristics (age, compliance, immune status) [73]. Also, treatment decisions should be made in a patient-physician shared decision process.

Box 5. Indication for treatment of actinic keratosis

	Consensus-based statement
Expert consensus	Treatment-decision should be made on a case-by-case basis
	considering patient-related factors and lesion characteristics.

For patients with previous cSCC and/or immunosuppression
treatment should be considered
Strength of consensus: 100%

5.1.1. Surgical procedures. Curettage is the most frequently performed surgical procedure in AK management and particularly suitable for solitary lesions. According to a recent meta-analysis, combinations with destructive treatments such as electro-desiccation or cryosurgery are more effective than photodynamic therapy, 5-fluorouracil, or imiquimod in treating in-situ SCC or superficially invasive SCCs [74]. Moreover, curettage is a standard procedure established in photodynamic therapy (PDT) protocols to ablate superficial keratosis, above all in thick hyperkeratotic AK. Deeper shavings or scalpel excisions instead are preferred in suspicious lesions, in which histology of the entire specimen appears justified [75]. In AK, surgical treatments are usually limited to lesion-directed removal of single or isolated AKs. After primary field-directed treatment, any remaining AK may be effectively treated with a lesion-directed surgical approach [75]. Surgical interventions, in general, have the advantage to allow for histopathologic examination of the removed tissue specimen. Histology is particularly advised if AKs is refractory to standard therapy, in relapsing AKs, or in those suspicious of cSCCs (e.g. in thickening, painful, or bleeding lesions, particularly in immunosuppressed patients)[76].-The drawbacks of surgical techniques are that usually only single lesions will be removed, anaesthesia is needed, and permanent depigmentation or even scarring may develop [77].

Box 6. Surgery and laser ablation for lesion-directed treatment of AK

	Consensus-based statement
GCP	Curettage, shave or excisional biopsy can be offered for single or few hyperkeratotic lesions. Histological examination is
	strongly recommended in treatment resistant cases and in
	lesions suspicious for iSCC. [78]
	Strength of consensus: 100%

5.1.2. Cryotherapy. Cryosurgery, or cryotherapy, is a lesion-directed treatment, with a focus on treating a single or a limited number of AKs. This procedure is considered to be a standard initial first-line treatment in patients with a limited number of AKs [79], as it is easy to perform and time-efficient. By using low temperature (liquid nitrogen -196 °C), cryotherapy targets and kills precancerous cells directly by inducing cell rupture due to osmotic shock and intracellular formation of ice crystals. In addition, it leads indirectly to a delayed cell termination process by inducing vascular necrosis due to thrombosis and a release of neo-antigens. To attain the effective temperature of at least -40 °C on the edge of the lesion, the cycle of freezing and thawing should be repeated [80]. Sensitive areas such as eyes should be protected [81]. AK I-II are subject to a single freeze-thaw cycle with a freezing time between 5 to 20 seconds [82]. Two freeze-thaw cycles of 10 seconds each are indicated for large and hypertrophic lesions [83]. Prior removal of hyperkeratotic scales is recommended, either by gentle curettage or by application of urea or salicylic acid containing keratolytic agents two weeks before cryosurgery [81]. Cure rates of cryotherapy as single treatment for AK range from 39% to 83% [84]. The efficacy depends on the experience of the dermatologist and the protocol used [85]. Combination of cryosurgery with a

topical intervention may be more effective than cryosurgery alone in patients with multiple AKs and field cancerization. [86].

Box 7. Cryosurgery

	Consensus-based statement
Grade of recommendation: A	Cryosurgery shall be offered as a first-line standard treatment for solitary AK [84, 85]
	Cryosurgery in combination with curretage and topical treatments shall be offered in multiple AKs and field cancerisation [81, 86].
Level of evidence: 1	Systematic review and meta-analysis [81, 86], prospective multicenter study [87]
	Strength of consensus:

5.1.3. Laser ablation. AK can be treated with laser therapy, either alone or in combination with other treatments like PDT. The most commonly used lasers for ablative treatment in AKs are the CO<sub>2</sub> and Er:YAG lasers, which remove the epidermis and superficial dermis to stimulate re-epithelialization. However, traditional laser treatment can have side effects such as hypopigmentation and scarring, as well as immediate side effects like erythema, edema, itching, hyperpigmentation, and scaling, which usually resolve within 2 weeks but may take longer to heal. Ablative laser treatment appears to be more effective than fractional laser treatment. There are only two randomized contolled trials (RCTs) comparing it to 5-FU cream [88-90]. Hantash et al. compared CO<sub>2</sub> laser with 5-FU applied twice daily for 3 weeks with no significant difference while Ostertag et al. did find a significant difference in favour of the Er:YAG laser in comparison to 5-FU treatment twice daily for 4-7 weeks. However, after 12 months posttreatment, there was no difference in efficacy, whereas more side effects were noted in the Er:YAG treated group.[88, 89]. CO2 laser was compared to cryosurgery in a single centre RCT including 200 patients [91]. In both groups the cosmetic outcome was good. Complete responses at 3 months were respectively 65.3% and 71.1%, respectively in the laser and cryotherapy groups, but decreased to 14% and 53% at 1 year. Therefore, laser may offer only few advantages over classical and less expensive treatments. No comparative trials are available comparing laser with PDT as a monotherapy. However, fractional laser has been used to improve PDT treatment for AK. It is believed that by creating micro-channels in the skin, the photosensitizer can be delivered deeper, thereby increasing the effect of PDT. Seven RCTs were included in a review where conventional PDT was compared with laser-assisted PDT, which was more effective in short-term clearance rate and not more painful than conventional PDT as a monotherapy[92].

Box 8. Laser ablation for AKs

	Evidence- based statement
Grade of	Ablative laser treatment should be offered as one of the options
recommendation: B	for single or multiple AKs, but it is not superior to cryotherapy
	or 5-FU treatment.
Level of evidence: 2	Single-centre randomized controlled trials [88, 89]
	Strength of consensus: 100%

# 5.2. Topical agents

5.2.1. 5-FU (5%, 4%, 0.5% with or without 10% salicylic acid, 5-FU with calcipotriene). The fluoropyrimidine 5-fluorouracil (5-FU) is an antimetabolite drug exerting its anticancer effects through inhibition of thymidylate synthase and incorporation of its metabolites into RNA and DNA. The benefits of 5-FU treatment for AK were assessed based on 5 studies with moderate-to high quality efficacy, 4 studies with 5% 5-FU once daily and one with 5% 5-FU twice daily [93-95]. The largest placebo-controlled randomized trial (VAKCC trial) showed field treatment of AKs on the face with 5% 5-FU, twice daily for 4 weeks, to be more effective than placebo for complete AK clearance at 6 months (38% vs 17%; P<0.01) [93]. Two placebo controlled randomized clinical trials evaluating 0.5% 5-FU cream suggested the low concentration</p>

of 5-FU to be more effective than placebo in reduction from baseline of AK lesion counts and lesion clearance [94, 95]. The main adverse event, often the primary reason for discontinuation of the treatment with 5-FU, remains local irritation. The number of AK lesions at baseline may predict the severity of local skin reactions [96]. New formulation of 4% fluorouracil in aqueous cream once daily was compared with twice daily treatment with 5%-FU in a double-blind multicentre study involving 841 subjects. It revealed similar efficacy, but better tolerability of the 4% formulation (30% vs. 60% application site skin reaction). Field treatment of face and scalp area <25 cm<sup>2</sup>, with 0.5% 5-FU plus 10% salicylic acid solution once daily for 12 weeks led to higher complete clearance rates in 188 subjects in a randomized, vehicle controlled phase III trial (49.5% vs. 18.2%) [97]. Combination of calcipotriol and 5-FU is an emerging combination recently evaluated by a systematic review of the literature, including studies that assessed treatment of AK and prevention of cSCC [98]. A secondary exploratory analysis of a previous randomized trial investigated treatment with 0.005% calcipotriol ointment plus 5% 5-FU cream versus vaseline plus 5% 5-FU cream (control) twice-daily for 4 days in patients with 4 to 10 AKs in a 25 cm<sup>2</sup> contiguous area of the face, scalp, and upper extremities. It showed a significantly higher complete (62% vs 8%, p<0.0001) and partial (82% vs 11%, p<0.0001) AK clearance at all sites than control, also for facial hypertrophic AKs (54.0% vs. 14.7%, p= 0.002) [99].

Box 9. 5-fluorouracil (5-FU)

	Evidence- based statement
Grade of	Topical 5-fluorouracil shall be offered for the treatment of
recommendation: A	single or multiple AK and field cancerization. Available are the
	following 5-FU formulations: 5% 5-FU cream, 4% fluorouracil

	in aqueous cream, 0.5% fluorouracil in salicylic acid 10%
	solution, 5% fluorouracil plus calcipotriol 0.005% cream [46,
	93, 100]
Level of evidence: 1	Systemic review and meta-analysis Randomized controlled
	trials [46, 93, 100]
	Strength of consensus: 100%

5.2.2. Imiquimod 5% and 3.75%. Imiquimod is a toll-like receptor-7 agonist that acts as a topical immune response modifier that stimulates the production and release of cytokines, such as tumour necrosis factor- $\alpha$ , interferon-y, interferon- $\alpha$  and interleukin-12. It plays an important role in the modulation of gene expression that regulates macrophages, dendritic cells, cytotoxic T-cells, and natural killer cells and has indirect antiviral and antitumoral potency [46, 100, 101]. The best candidates for imiquimod treatment are patients with multiple non-hyperkeratotic, non-hypertrophic, palpable AK located on the face and the scalp along with clinical evidence of field cancerization. Other anatomic locations can also be treated with the same treatment schedules, but the clinical outcomes have not been evaluated extensively. Patients taking immunosuppressants or transplant patients should use imiguimod with caution. Treatment with imiguimod is not recommended during summer period [72]. The 5% concentration is sufficient to cover a skin area of up to 25 cm<sup>2</sup> of the face and scalp on three times a week schedule over a period of 4 weeks, followed by an additional cycle if partial clearance is obtained. The maximum recommended duration of treatment is 8 weeks. The 3.75% concentration has been approved for the treatment of larger surface area of up to 200 cm<sup>2</sup>. It is applied once daily for two cycles of two weeks each, separated by 2-week rest intervals. Overall,

imiquimod has a clearance rate ranging from 56.3% for 5% concentration for 4 weeks to 63.3% for 5% concentration for 16 weeks. The 3.75% concentration is associated with a lower clearance rate as two 2-week cycles separated by a 2-week rest resulted in complete clearance rate of 35.6%, while the median percentage of lesion reduction was 81.8% which is comparable to the efficacy of 5% imiquimod [102, 103]. Recurrence rates at 12 months for patients who achieved complete clearance at 2-3 months were 27-39% [104]. Erythema, crusting, erosions, ulceration, and oedema are common local inflammatory reactions that may require increased spacing between applications. In addition to local skin reactions, imiquimod may also produce systemic symptoms such as fever, chills, myalgia, and malaise.

Box 10. Imiquimod

	Evidence- based statement
Grade of	5% or 3.75% imiquimod should be offered for the treatment of
recommendation: B	single or multiple AKs and field cancerization treatment [68,
	91, 98-100].
Level of evidence: 1-2	Randomized controlled trials [68, 91, 98-100]
	Strength of consensus: 100%

5.2.3. **Resiquimod**. Resiquimod is a TLR7/8 agonist that activates dendritic cells and promotes cytokine release. It is available in four concentrations, with the greatest efficacy observed with application three times weekly for four weeks. In a phase II study, higher doses were associated with high rates of adverse events and discontinuation [105]. Complete clinical clearance was obtained in 56-85% of patients in a large study of 217 patients, with

maximal efficacy among patients receiving the 0.03% concentration [106]. From the perspectives of safety and tolerability, the lower concentration and shorter duration were preferable, but there was no significant histological difference from placebo in regimens with fewer gel applications. Further studies are needed to investigate efficacy, safety, and tolerability of resiguimod.

5.2.4. **Diclofenac.** Diclofenac is a non-steroidal anti-inflammatory drug which acts by inhibition of cyclooxygenase-2 enzyme, thereby causing decreased synthesis of prostaglandin E2 synthesis, and exerting anti-inflammatory, anti-angiogenic and pro-apoptotic effects [107, 108]. Diclofenac 3% gel in 2.5% sodium hyaluronate is approved for clustered AK and field cancerization treatment of AK, at a therapeutic regimen of twice-daily application for 60-90 days. Several studies have evaluated the efficacy and safety of diclofenac 3% gel with sodium hyaluronate in patients with AKs [109-112]. One study reported a complete clearance response of 41% for patients treated with diclofenac 3% gel twice daily for 90 days [113]. Two RCTs comparing diclofenac 3% gel with imiquimod 5% cream did not show a statistically significant difference in efficacy. However, after 24 months, imiquimod had a higher complete clearance rate [114]. Additionally, diclofenac 3% gel showed lower lesion complete remission rates compared to methyl-aminolevulinate MAL-PDT for the treatment of multiple AKs on the face and scalp [115]. Systematic literature review and network meta-analysis evaluated comparative efficacy and acceptability of different AKs treatment strategies, assessed at least 1 month after end of treatment and within 1year post-treatment [102]. The estimated absolute clearance rate for diclofenac 3% gel was 24.7% (95% CI: 12.4-37.0%), with diclofenac being superior to placebo only, and the least effective among the treatment strategies (ALA- and MAL-PDT, 5-FU, imiguimod, ingenol mebutate and cryotherapy) included in the study. Another meta-analysis reviewed efficacy and tolerability of different AKs intervention from post-marketing surveillance trials [116]. Imiquimod 5% cream and ingenol mebutate 0.015% gel were both more effective compared to diclofenac 3% gel as per patient complete clearance rate in 2 RCTs [(RR: 1.47; 95% CI: 1.19–1.81); (RR: 1.92; 95% CI: 1.48–2.50)] [117, 118]. The recurrence rate was also significantly higher for diclofenac 3% gel compared to imiquimod 5% cream (RR: 1.10; 95% CI: 1.02-1.8).

Box 11. Diclofenac

	Evidence- based statement
Grade of	3% diclofenac in 2.5% sodium hyaluronate is less effective
recommendation: A	than other treatments of single or multiple AKs and field cancerization treatment
Level of evidence: 1	Randomized placebo controlled trials [109-112], meta- analysis [119], open label single arm trial [110], trials with active comparator arm [111-114]
	Strength of consensus: 100%

5.2.5. Tirbanibulin. Tirbanibulin is a dual small molecule inhibitor that inhibits tubulin polymerisation and indirectly intracellular protein tyrosine kinase Src. Increased Src activity has been observed in both primary tumour growth and metastasis [120]. As Src is increasingly expressed in AK and appears to play a role in progression to cSCC [120], the efficacy of topical therapy with tirbanibulin in AK has been investigated. An open-label phase II trial was initially conducted in the USA in 168 patients with 4-8 AK over an area of 25 cm² on the face or scalp. Eight weeks after starting treatment with tirbanibulin

ointment 1%, the complete patient-related AK clearance rate (100%) was higher in the five-day treatment cohort (n=84) than in the three-day treatment cohort (43% versus 32%) [121]. Local skin reactions were mild and mostly included erythema, scaling, crusting, and swelling, which resolved quickly. Side effects were rare and mostly mild, including transient itching, tenderness, and pain. Based on the results of the phase II study, two identical, multicentre, double-blind, vehicle-controlled trials were conducted. A Phase III trial of the 1% ointment was initiated in a total of 702 patients with AK on the face and scalp, which confirmed the efficacy and safety of tirbanibulin 1% ointment compared to vehicle. The participants received tirbanibulin ointment 1% or vehicle (1:1), which was to be self-applied once daily for 5 consecutive days. After 57 days, the complete (100%) and partial (≥ 75%) AK clearance rate was significantly higher in both studies in the patients who received tirbanibulin (tirbanibulin vs. vehicle, complete clearance rates: 44-54% vs. 5-16%; partial clearance rates: 68-76% vs. 11-16%). After 1 year, recurrence occurred in 124 of the 174 (71.26%) patients treated with tirbanibulin who previously had a complete response. The most common local reactions to tirbanibulin were erythema in 91% of patients and scaling in 82%. Most treatment-related adverse events were mild to moderate. The patient-related healing rates achieved with tirbanibulin in the pivotal study are comparable with already approved topical agents. Tirbanibulin was approved for the topical treatment of AK on the face or scalp in adults in the EU in July 2021.

Box 12. Tirbanibulin

	Evidence- based statement
Grade of	Tirbanibulin 1% ointment should be offered for the treatment
recommendation: B	of single or multiple AKs and field cancerization treatment of

	the face and scalp
Level of evidence: 1	Randomized placebo-controlled trials [121].
	Strength of consensus: 100%

## 5.3. Comparison of efficacy and adverse events

5.3.1. Efficacy and safety of different AK treatment options were compared in several studies. In 2019, Jansen et al. published [100] a prospective randomized trial conducted in four Dutch hospitals. Patients with a clinical diagnosis of five or more AKs lesions on the head, involving one continuous area of 25 to 100 cm<sup>2</sup>, were enrolled. A total of 624 patients were included and 5% 5-FU cream, 5% imiguimod cream, MAL-PDT or 0.015% ingenol mebutate gel were randomly assigned. The primary outcome was the proportion of patients with a reduction of 75% or more in the number of AK lesions from baseline to 12 months after the end of treatment. At 12 months after the end of treatment, the cumulative probability of remaining free from treatment failure was significantly higher among patients who received 5-FU (74.7%; 95% confidence interval [CI], 66.8 to 81.0) compared with those who received imiquimod (53.9%; 95% CI, 45.4 to 61.6), MAL-PDT (37.7%; 95% CI, 30.0 to 45.3), or ingenol mebutate (28.9%; 95% CI, 21.8 to 36.3). As compared with 5-FU, the hazard ratio for treatment failure was 2.03 (95% CI, 1.36 to 3.04) with imiquimod, 2.73 (95% CI, 1.87 to 3.99) with MAL-PDT, and 3.33 (95% CI, 2.29 to 4.85) with ingenol mebutate (p≤0.001 for all comparisons) [100]. A secondary analysis of this trial has been recently published [46], evaluating the risk of invasive cSCC in a long-term follow-up. Twenty-six invasive cSCC in the target area were diagnosed during followup. The total 4-year risk of developing cSCC in a previously treated area of AK was 3.7% (95% CI, 2.4%-5.7%), varying from 2.2% (95% CI, 0.7%-6.6%)

in patients treated with fluorouracil to 5.8% (95% CI, 2.9%-11.3%) in patients treated with imiguimod. The risk was 20.9% (95% CI, 10.8%-38.1%) in patients with severe AK (Olsen grade III), and as high as 33.5% (95% CI, 18.2%-56.3%) in those patients with severe AK who needed additional treatment. Cheng et al. 2022 [122] recently published a retrospective cohort study to analyse the time to invasive cSCC development after treatment with 5-FU, imiquimod, or ALA-PDT beginning 1-year post-treatment. No significant difference in the rate of cSCC development was identified in patients treated with 5-FU compared with imiguimod (0.99; 95% CI, 0.90-1.08) but PDT-ALA was worse than 5-FU (1.27; 95% CI, 1.19-1.36) and imiquimod (HR, 1.29; 95% CI, 1.17-1.43). However, this study is limited by its non-randomized, retrospective design. Finally, Heppt et al. conducted a systematic review comparing efficacy of treatments for face and scalp AKs, comprising the 5-day tirbanibulin 1% ointment [123]. The review included 46 studies to inform a Bayesian network meta-analysis of complete clearance against topical placebo or vehicle. The network meta-analysis revealed the lowest odds ratios (with 95% confidence intervals) for diclofenac 3% (2.9, 95%CI1.9-4.3) and highest for 5%-fluorouracil (35.0, 95% CI10.2- 164.4) [123, 124] (**Table 2**).

on the efficacy of topical interventions while safety and tolerability considerations are less well documented, although they are an integral part of shared decision-making. A standardized and uniform classification of adverse drug reactions in the treatment of AK has not yet been established. Topical therapies differ in their safety profile [125]. Most treatment options are associated with local adverse events (AE) that are transient in most of the cases. Diclofenac has a very good local tolerability, and the most frequent AEs are mild erythema at the site of application followed by scaling, oedema,

and erosions [110, 126]. Allergic contact dermatitis has been reported in 2.1% of patients [118]. Moderate to severe local skin reactions characterize treatment with 5-FU 5%/4% and imiguimod 5%/3.75%. Erythema, itching, crusts, scaling, erosion, pain, swelling and vesicles/bullae often accompany treatment with 5% 5-FU [100]. 4% 5-FU demonstrated a superior tolerability profile compared to 5% 5-FU [127]. Most AE reported for the lower 0.5% concentration of 5-FU in combination with 10% salicylic acid are mild or moderate [128]. Erythema, scabbing or crusting, flaking and erosions have been reported frequently with imiquimod 5%/3.75% [129, 130]. The tolerability profile of tirbanibulin has been remarkably good in clinical trials [121]. Most AEs were local and estimated as mild to moderate. Potentially irreversible local side effects such as persistent hyperpigmentation or scarring after strong local reactions. In a RCT comparing 4 different fielddirected treatments, there were generally no substantial differences in adverse events between the investigated treatments (5-FU, imiguimod, PDT and ingenol mebutate), except for the fact that PDT led to significantly more pain during treatment. [46]. Systemic side effects are rare and are mainly observed with 5-FU (myelosuppression) and imiquimod (flu-like symptoms) and can lead to premature treatment discontinuation [125]. In most cases, however, they are mild and resolve completely after treatment discontinuation. Diclofenac [131] and 5-FU [132] appeared safe regarding graft function in OTRs. No allograft rejections or deterioration of allograft function were reported for imiquimod 5% in a multicentre study including 43 OTRs [133], although one patient with a third renal transplant who developed a severe vascular rejection after the use of imiguimod 5% cream was described [134]. Safety concerns focused mainly on local side effects and less frequently about the severity of long-term or systemic adverse events. The rate of treatment-associated keratinocyte cancers and irreversible adverse drug events warrant investigation in post marketing surveillance trials with long-term follow-up. In Table 2 comparison of efficacy and safety of different treatment options is adapted from different systemic review and network meta-analyses studies.

**Table 2.** Review of efficacy and tolerability of actinic keratosis treatments

# 5.4. Photodynamic therapy

5.4.1. Photosensitizing agents. Photodynamic therapy (PDT) holds a special position in the treatment landscape for AK as it consists of a combination of a topical agent with a procedural method. The principle of PDT relies on the application of light-sensitizing substances ("photosensitizers") such as aminolaevulinate (ALA) or its ester bond methyl-aminolaevulinate (MAL). ALA itself is a precursor (prodrug) of endogenous haem synthesis, which is converted in the skin to photoactive porphyrins such as protoporphyrin IX (PpIX). The photosensitizers accumulate selectively in lesional keratinocytes and are subsequently activated by illumination with light of a suitable wavelength. In this process, photochemical and photophysical processes generate reactive oxygen species, specifically inducing cell death in atypic keratinocytes. In the USA, a 20% ALA solution is approved in combination with illumination by blue light while in Europe, a nanoemulsion equivalent to a 10% ALA preparation is widely available (BF-200 ALA) [135, 136]. In contrast to MAL and conventional ALA formulations, BF-200 ALA is also approved for the treatment of mild to moderate AK on the trunk, extremities, and neck. Furthermore, a self-adhesive ALA patch is available enabling direct and highly standardized application without any prior lesion preparation [135, 136].

- 5.4.2. Conventional PDT. Multiple PDT regimens using distinct photosensitizers, varying incubation times, illumination protocols, and light sources have been established in the last two decades, making PDT a rather heterogeneous intervention. For a more detailed overview of treatment delivery and illumination protocols, we refer to the European Dermatology Forum guidelines on topical PDT [135, 136] and other reviews [137]. A large body of evidence demonstrates high clearance rates for both photosensitizers for single and multiple lesions, as well as for field cancerization. The clearance rates in randomized controlled trials vary from 50%-94.3% and 31.4%-90.3% for conventional ALA- and MAL-PDT, respectively [138]. In a head-to-head trial, ALA-PDT showed slightly higher clearance rates than MAL-PDT [139]. However, the efficacy may vary according to pre-treatment, light sources, and treatment protocols. A recent network meta-analysis suggested the most favourable long-term lesion clearance rates for conventional PDT with BF-200 ALA compared to other interventions, underlining the high efficacy of conventional PDT [140]. Local skin reactions in the treated areas are almost mandatory and include erythema, crusting, oozing, formation of sterile pustules, or scaling. Rare adverse events may include amnestic episodes, contact allergies, and postprocedure hyperpigmentation. Painful sensation during illumination is often the limiting factor in the delivery of PDT, requiring pain control measures such as patient distraction, cooling with cold air, or locally infiltrative anaesthesia with nerve blocks.
- 5.4.3. **Daylight-mediated PDT ("daylight" PDT)**. Illumination with natural or simulated daylight has been established in recent years as an almost painless alternative to conventional PDT. Daylight continuously activates PpIX, thereby avoiding high peaks of PpIX and minimizing illumination-related pain. ALA or MAL is applied as a thin layer over a large area of the

face and scalp after the application of chemical sunscreen and gentle curettage of keratotic lesions. Patients are subsequently exposed to natural daylight for 2 hours under suitable weather conditions (March-October, outdoor temperature >10°C, cloudless to overcast sky, no rain). Two pivotal phase III trials conducted in Australia and Europe compared daylight PDT with conventional PDT with MAL in a multicentre, investigator-blinded, controlled, intraindividual trial as a non-inferiority analysis [141, 142]. At 12 weeks after a single PDT cycle, the lesion complete clearance rate was not inferior to conventional MAL-PDT (89% vs. 93% in Australia, 70% vs. 74% in Europe), but daylight PDT was significantly less painful in both trials. Dirschka et al. compared daylight PDT with BF-200 ALA versus MAL in a large-scale, multicentre, split-face non-inferiority study [143]. The patientspecific complete clearance rate was 42.9% for ALA versus 38.8% for MAL. The lesion-specific clearance rates were similar for both photosensitizers (79.8% for ALA, 76.5% for MAL). The study reported a significantly higher recurrence rate for MAL (31.6%) compared with ALA (19.9%) after 12 months of follow-up. A similar comparison was performed by Räsänen et al. in 2019 in a multicentre double-blind non-sponsored trial. Both photosensitizers were applied to one side of the face. The lesion-specific clearance rate was 79.7% for ALA versus 73.5% for MAL. The complete clearance rates were 27.5% for both photosensitizers [144]. From these data, we conclude that ALA and MAL are equally effective when used for daylight PDT. The advantages of daylight PDT over conventional PDT include minimal to no pain, the possibility to treat large fields, and the lack of a requirement for artificial light sources.

Box 13. Conventional and daylight photodynamic therapy

	Evidence- based statement
Grade of	Conventional or daylight photodynamic therapy with 5-
recommendation: A	aminolevulinic acid and/or methyl aminolaevulinate should be
	offered for the treatment of single or multiple AK and field
	cancerization.
Level of evidence: 1-2	Randomized controlled trials [139, 145], systematic review
	and network meta-analysis [143-147]
	Strength of consensus: 100%

5.4.4. Field cancerization treatment. Although an exact definition has not yet been agreed upon, the concept of field cancerization is increasingly being acknowledged and considered for treatment selection and prevention of AK. Agents approved for large-field applications such as 5-fluorouracil 5%, 5-fluorouracil 4%, PDT, or imiquimod 3,75% are preferable for multiple thin lesions as the field is commonly ill-defined and expands over a larger area. Studies employing RCM provided evidence that subclinical changes are efficiently resolved by topical treatments [146]. Diclofenac sodium is also suitable for large fields but may be less effective for lesion clearance. Patients with multiple hyperkeratotic lesions may benefit from a sequential use of field- and lesion-directed treatment (see 5.6.).

Box 14. Field cancerization treatment

	Evidence- based statement
Grade of	5-fluorouracil 5%, 5-fluorouracil 4%, PDT, imiquimod 5% and
recommendation: B	3,75%, diclofenac 3% in 2.5% hyaluronic acid gel and
	tirbanibulin 1% should be offered for field cancerization
	treatment [71, 93, 100-102, 144]

Level of evidence: 1	Randomized placebo-controlled trials [93, 100-102, 144]
	Strength of consensus: 100%

5.4.5. Combination treatments: should it be the rule? A multitude of interventions for the treatment of AK exists which are often combined either simultaneously or sequentially. The rationale for an upfront combination approach is to take advantage of the strengths and distinct mechanisms of action of different interventions, thereby achieving synergistic effects [147]. The application of a primarily field-directed approach can be focally complemented by lesion-directed modalities such as cryosurgery, laser ablation, or shave excision for hyperkeratotic or treatment-refractory lesions. Pre-treatment with topical agents may even unmask and reveal subclinical lesions within a treatment field which can subsequently be targeted with a lesion-directed treatment. Conversely, following a primarily field-directed therapy, subclinical lesions and field cancerization can be managed by a field-directed treatment which prevents the progression of subclinical lesions to become clinically visible AK [138]. Recent analyses have shown that treatment combinations result in significantly higher lesion clearance compared to respective monotherapies. In particular, such efficacy benefits were demonstrated for laser-assisted conventional PDT [92], PDT combined with pre-treatment by micro-needling [148], cryosurgery combined with topical agents [86] and 0.5% 5-FU followed by cryosurgery [149, 150]. Furthermore, there is evidence that combining several field-directed treatments can augment their efficacy. A systematic review identified 10 RCTs with a total sample size of n=277 [151]. Four studies investigated a combination of PDT with imiguimod cream, three with 5-FU, and one each with ingenol mebutate, tazarotene gel, and calcipotriol ointment. Patients

treated with a combination had higher rates of complete and partial lesion clearance [151], implying that treatment combinations can achieve higher clearance rates compared to monotherapies. Nevertheless, a combination of interventions should always be discussed on a case-by-case basis, and monotherapies will in many cases be sufficient to achieve lesion clearance and disease control. Patients with simultaneous discrete and hyperkeratotic lesions, large treatment fields and treatment resistance to monotherapies may benefit from combinations.

Box 15. Combination treatment

	Evidence- based statement
Grade of	Combined treatments should be offered to patients with
recommendation: B	multiple and/or hyperkeratotic lesions, large treatment fields
	and treatment resistance to monotherapies.
Level of evidence: 1	Randomized controlled trials [84, 90[148], systematic review
	and meta-analysis [151].
	Strength of consensus: 100%

5.4.6. Treatment of AK in immunocompromised patients. Compared to immunocompetent individuals, chronically immunosuppressed patients show significantly increased morbidity and mortality due to the development of iSCC [152]. Important mainstays for secondary prevention are early modification of the immunosuppressive regimens by eliminating of azathioprine and switching to mTOR inhibitor-containing immunosuppression, photoprotection, as well as chemoprevention with retinoids. Although in immunocompetent individuals dietary supplementation

with oral nicotinamide (vitamin B3) was found to be effective in prevention of cSCC, in a recent trial it was found that it does not reduce the number of new keratinocyte cancers in OTRs [153]. For clinically manifest AK, the evidence for active interventions is surprisingly low [154]. A systematic review identified only eight small, randomized trials with 242 OTR, 6 out of 8 which evaluated PDT (but only 2 imiguimod and one each for 5-fluorouracil, diclofenac gel and cryotherapy) [154]. Here, field-directed treatments showed higher clearance rates than lesion-directed treatments, underlining the paramount importance of treating the entire field in this high-risk population (see 5.5.). Conventional MAL-PDT showed the most favourable clearance rates (40%-76,4%), followed by imiquimod (27,5%-62,1%), diclofenac sodium (41%), and 5-fluorouracil 5% (11%), while ablative laser treatment showed the lowest lesion clearance (5-31%). Importantly, there were no graft rejections under topical therapies [154]. In a recent small RCT, treatment with sunscreen, 5-FU and imiguimod were used for prevention of cSCC in OTR, and treatment with 5-FU was found to be superior in AK clearance as a surrogate biomarker of cSCC prevention [155]. Immunosuppressed patients commonly show multiple and metachronous evolving lesions over large fields. Thus, purely lesion-directed treatments are usually not sufficient to achieve disease control. Due to a more aggressive disease course and a higher portion of treatment-resistant lesions, repeated treatment is often necessary. The threshold to biopsy lesions to rule out progression to cSCC should be lower than in immunocompetent individuals. Most evidence for the subgroup of immunosuppressed patients is available for PDT, imiquimod, and diclofenac sodium [138]. Dragieva et al. evaluated conventional MAL-PDT in 14 renal and 3 cardiac transplant recipients. The lesion clearance rate assessed 16 weeks after two PDT cycles was 90.3% in the MAL-treated group versus 0% in the vehicle group. Complete response of the entire treatment field was achieved in 75.4%, and partial response (>75% of all lesions per field cleared) in 94.1% [156]. A European, multicentre, doubleblind, interindividual randomized trial evaluated imiguimod 5% cream versus placebo in 30 kidney, 4 liver, and 9 heart transplant patients. The complete response rate was 62.1% for imiquimod (100% in the liver transplant group, 65% in the kidney transplant group, and 42.9% in the heart transplant group) versus 0% for placebo [157]. Importantly, anecdotally reported graft rejection or deterioration of the graft function was not observed in this trial [133, 134, 157]. Adverse events of imiguimod were local site application reactions, fatigue, headache, diarrhoea, nausea, rash, unspecified skin reactions, and leukopenia. Togsverd-Bo et al. investigated MAL-PDT and imiquimod in 35 OTR [158]. PDT resulted in higher lesion clearance at a 3-month follow-up albeit at the cost of more intense local skin reactions. The median patientspecific complete clearance was 78% for PDT versus 61% for imiguimod. Diclofenac sodium was investigated in a double-blind, placebo-controlled, randomized trial in 32 OTR (18 renal, 8 cardiac, and 6 liver transplant recipients) [131]. The complete clearance of all lesions in the treated field was 41% (kidney transplants 30.7%, liver transplants 40%, heart transplants 75%) versus 0% in the placebo group. Partial clearance of at least 75% of lesions was observed in 59% for diclofenac versus 16.7% for placebo. The recurrence after diclofenac treatment was 55% after 9.3 months. Adverse events included mild to moderate erythema, scaling, itching, and skin irritation with oedema [131]. Based on the available evidence, Massey and co-workers have developed consensus-based recommendations on the prevention of cSCC in solid organ transplant recipients [159]. The key recommendations for the treatment of AK in OTR patients include cryosurgery for scattered AK, field therapy with 5-fluorouracil for AK grouped in one anatomical area, and for field cancerized skin, and acitretin therapy for patients with a high rate of multiple skin cancers or high-risk cSCC. For thick AKs, a combination of lesion-directed and field therapy with cryotherapy was recommended, and immunosuppression reduction or modification should be discussed with patients with high-risk cSCC [159]. This group of patients should be managed in specialist centres.

**Box 16. Treatment of AK in immunocompromised patients** 

	Evidence- based statement
Grade of	Conventional PDT with illumination by a red-light source, 5-FU
recommendation: B	5% cream, and diclofenac sodium 3% in hyaluronic acid gel
	2.5% should be offered to immunocompromised patients with
	single and multiple AKs and field cancerization.
Grade of	Imiquimod 5% or 3.75% cream may be offered for treatment
recommendation: C	of single and multiple AKs and field cancerization in selected
	immunocompromised patients.
	Daylight PDT may be offered to immunocompromised patients
	with single and multiple AKs and field cancerization.
	Field-directed treatments have higher clearance rates than
	lesion-directed treatments in this high-risk population
Level of evidence: 2-3	Randomized controlled trials [155], systematic review [154].
	Strength of consensus: 78.5%

Figure 1. For single non-hyperkeratotic lesions, destructive or field directed treatments can be started, although monitoring and self-examination can also be advised. For multiple lesions, field directed treatments and PDT are advised. For hyperkeratotic lesions pre-treatment with destructive methods (curettage, cryotherapy, or laser) is advised before field directed treatment. For AKs in immunosuppressed patients PDT might be preferred, although other topical treatments might be effective and safe, and for AKs in specific high-risk regions and in treatment resistant cases surgery is indicated. Preventive measures (sun/protection, self/examination), repeated treatments and in patients with previous iSCC chemoprevention are advised (Figure 1).

Figure 1. Treatment algorithm for actinic keratoses

## 6. Prevention of AK

All patients with AK should be advised to apply the appropriate protective measures against solar UV radiation. The following measures should be recommended: avoidance of intensive intermittent (UV peaks) and chronic sunlight exposure, wearing of appropriate clothing, application of sunscreen with a high sun protection factor ( $\geq$  30) including ear rims and lips, no use of sun beds, and discontinuation or change of light-sensitizing drugs (e.g. hydrochlorothiazide). In more detail, the following UV protection measures should be taken to avoid excessive UV exposure: In case of medium and high UV irradiance (UV index 3-7), shade should be sought during midday. In case of very high UV irradiance (UV index  $\geq$  8), outdoor activities during midday should be completely avoided or postponed to the morning and evening hours. Sunburn should be avoided at any time.

In high-risk patients (e.g., OTRs) with multiple AK, field cancerization, or a history of multiple non-melanoma skin cancers regular (e.g., yearly) treatments of previously affected skin areas can be considered with PDT, 5-FU, or imiquimod as a preventive topical measure. In the

Veterans Affairs Keratinocyte Carcinoma Chemoprevention (VAKCC) trial, one treatment course of 5-FU 5% cream twice daily over 4 weeks effectively reduced AK counts and the need for additional spot treatments for AK for more than 2 years [93]. Similarly, the formation of new AK was reduced with 5-FU in this trial by prospectively tracking individual lesions over 36 months in this high-risk population having more than 2 keratinocyte carcinomas in the past 5 years [160]. In the LEIDA trials, imiquimod 5% cream was superior to diclofenac 3% gel in preventing histological change to grade III AK or invasive SCC and AK recurrence over 3 years [117]. However, this trial did not include a high-risk population as in the VAKCC trial. There has been long-standing controversy and intense debate on the use of systemic chemoprevention in high-risk situations. Agents investigated for oral chemoprevention include oral retinoids, nonsteroidal anti-inflammatory drugs (NSAID), capecitabine, as well as dietary supplements and vitamins (beta-carotene, nicotinamide). Most of these agents failed to show a clear benefit for prevention and may even be associated with harmful side effects. Oral nicotinamide (vitamin B3) 500 mg twice daily showed a rate reduction for cSCC of 30% in immunocompetent individuals with two or more confirmed keratinocyte carcinomas in the past five years [161]. However, there was no effect after nicotinamide discontinuation, and it is unclear if the preventive effects also pertain to AK. Also, oral nicotinamide was found does not reduce the number of new keratinocyte cancers in OTRs [153]. Recent consensus-based recommendations on the prevention of cSCC in OTR suggest initiation of acitretin and discussion of immunosuppression reduction or modification for patients who develop multiple skin cancers at a high rate (10 cSCC per year) or develop high-risk cSCC (defined by a tumor with approximately ≥20% risk of nodal metastasis). However, no consensus recommendation was achieved for OTR with a first low risk cSCC or multiple AK or field cancerization [159]. Likewise, the German S3 guideline on prevention of skin cancer does not make any recommendations for chemoprevention in AK [75, 162].

Box 17. Prevention of AKs

	Evidence- based statement
Grade of	Individuals at high-risk of AKs, including those occupationally
recommendation: B	exposed to UV irradiation and immunocompromised and all
	patients with AK should be advised to apply the appropriate
	protective measures against UV irradiation
Grade of	In high-risk patients (e.g., organ transplant recipients, OTR)
recommendation: C	with multiple AK, field cancerization, or a history of multiple
	keratinocyte skin cancers regular (e.g., yearly) treatments of
	previously affected skin areas with photodynamic therapy, 5-
	fluorouracil or imiquimod as a preventive topical measure can
	be considered.
Level of evidence: 2-3	Randomized controlled trials, systematic review of
	randomized controlled trials [59, 113, 159]
	Strength of consensus: 100%

## 7. Follow-up of AK

The surveillance and follow-up strategies for AK are not standardized and notoriously understudied. The response to any treatment should be assessed 3 months after the end of treatment clinically, dermatoscopically, and if available, with additional non-invasive imaging techniques. If there is evidence for treatment resistance, re-treatment or a biopsy are recommended.

Patients with AK should be educated for self-skin examination and sun protection and referred for skin examination due to the common risk of recurrences and the development of new lesions as well as of other types of keratinocyte carcinomas. In patients with AKs and a history of cSCC, follow-up schedules should follow the guidelines for cSCC. Each follow-up includes

a thorough skin check of the chronically sun-exposed body areas at a minimum. The frequency of follow-up should be based on the number and dynamics of previous AKs, the history of previous keratinocyte carcinomas, and the immune status and medication of the patient. In immunosuppressed patients, close follow-up visits with a dermatologist (e.g. every 3-6 months) is recommended.

Box 18. Follow-up of patients with AK

	Consensus-based statement
Expert consensus	Patients with AK should be educated for self-skin examination and sun protection and referred for skin examination due to
	the common risk of recurrences and the development of new
	lesions as well as of other types of keratinocyte carcinomas
	Strength of consensus: 100%

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Table 1. Oxford Centre for Evidence-Based Medicine 2011 Level of Evidence

Table 2. Review of efficacy and tolerability of actinic keratosis treatments

Figure 1: Treatment algorithm for actinic keratoses